

OCTOBER 2023

In accordance with regulatory requirements and our desire to keep you informed of various matters, we are providing you with disclosure of the following items:

**Privacy Policy** WEIL has a long-standing policy of protecting the confidentiality and security of non-public information we collect about our customers. We know that you expect us to conduct and process your business in an accurate and efficient manner. To do that, we must collect and maintain certain personal information about you. A copy of this Privacy Policy is available on our website at [www.cweil.com](http://www.cweil.com).

**Code of Ethics** As a fiduciary, WEIL has a duty of utmost good faith to act solely in the best interests of each of our clients. Our clients entrust us with their funds, which in turn places a high standard on our conduct and integrity. This fiduciary duty is the core principle underlying our Code of Ethics and represents the expected basis of all of our dealings with our clients. The WEIL Code of Ethics has been established to set forth the culture of ethics at WEIL and its affiliates and to prevent fraud by reinforcing the fiduciary principles that must govern the conduct of our firm. A summary of our Code of Ethics is available on our website at [www.cweil.com](http://www.cweil.com).

**Business Continuity Plan** WEIL's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm's books and records, and allowing our customers to transact business. In the event that we determine we are unable to continue our business, we will assure customers prompt access to their funds and securities. A summary, as well as emergency contact information, is available on our website at [www.cweil.com](http://www.cweil.com).

**Form ADV** As a registered investment advisor, we maintain a Form ADV brochure with the Securities and Exchange Commission. The Current Form ADV, which is updated at least annually, is also available on our website at [www.cweil.com](http://www.cweil.com). Additionally, when and if we make material changes to our business, we will update our Form ADV and we will notify you by providing you with a summary of these material changes. We are happy to provide you, free of charge, a copy of the form at any time, upon your request.

**Margin Disclosure** You may maintain a margin relationship with your custodian(s). In order to remind you of some basic facts about purchasing securities on margin, and the risks involved with trading securities in a margin account, detailed information is provided directly from your custodian and can be found on your monthly statements.

**Statements** Your custodian provides you directly with statements of your account. You should review your activity for accuracy and promptly report any inaccuracy or discrepancy in your account to your custodian in writing. Additionally, you should read the various disclosures and messages provided on your statement, including information about margin, errors, custodian financial condition, electronic fund transfers, order routing, tax reporting, SIPC protections, etc.

**1099 Tax Reporting Information from your Custodian** Your custodian (in most cases, Fidelity Investments) should be sending Form 1099s and other important account-related tax information directly to you early next year. For certain accounts (i.e. those holding securities whose value may need to be adjusted), the mailing deadline may be as late as February 15 (and perhaps later when nonresident alien withholding for foreign individuals, or retirement account contribution recharacterizations are involved).

As always, we thank you for your confidence in our services and appreciate the opportunity to partner with you. Please feel free to contact us at any time for additional information, or if we can assist you in any other way.